



Annual Statement of allotments, redemptions and purchase of own shares to be made by a collective investment scheme which is a private scheme as defined in Section 2(1) of the Financial Services (Collection Investment Schemes) Act 2011

Pursuant to Section 189 (3) of the Companies Act 2014 to be delivered to the Registrar together with every annual return

£200 filing fee

Please print and complete ONLY the relevant parts of the form

Please insert Company Number below:
(Include an * immediately before and after the number)

**Company
Number** _____

**Company
Name** _____

Statement of Allotments, Redemptions and Purchase of Own Shares

Made up to the ¹ _____ (the period to which the annual return relates)

Please state 'Yes' or 'No'

- | | | |
|---|-------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1 | Has the company made any ALLOTMENTS during this period?
(if 'Yes' complete Part A) | <div style="border: 1px solid black; width: 60px; height: 30px; margin: 0 auto;"></div> |
| 2 | Has the company made any REDEMPTIONS during this period?
(if 'Yes' complete Part B) | <div style="border: 1px solid black; width: 60px; height: 30px; margin: 0 auto;"></div> |
| 3 | Has the company made any PURCHASE OF OWN SHARES during this period? (if 'Yes' complete Part C) | <div style="border: 1px solid black; width: 60px; height: 30px; margin: 0 auto;"></div> |

I ² _____ am signing this Statement on behalf of the company. I confirm that the information contained in this form is, to the best of my knowledge and belief, accurate, and I understand and acknowledge that Companies House does not have the statutory power or capability to verify its accuracy. I further understand and acknowledge that the fact that the information is placed on the public record does not mean that Companies House has verified or validated it in any way.

Signature _____ Position held _____

Date _____

¹ Insert date – being the period to which the Annual Return relates

² This form may be signed by a Director, Secretary or Person authorised

Part A Allotments Section 189 (4)

From the ³ _____ to the _____ being the period to which the annual return relates

Allotment

Date of Allotment	
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Number of ⁴ _____ shares allotted payable in cash	
Number of ⁴ _____ shares allotted payable in cash	
Nominal amount of the shares so allotted	
Nominal amount of the shares so allotted	
Amount <i>paid or due payable</i> on each such Shares	
Amount <i>paid or due payable</i> on each such Shares	

In the case of shares allotted as fully or partly paid up otherwise than in cash

I attach a contract in writing constituting title of the allottee to the allotment together with any contract of sale, or for services or other consideration in respect of which that allotment was made.

Number of shares allotted for a consideration other than in cash Please see note 4	
Nominal amount of the Shares so allotted	
Amount to be treated as paid of each such share	

The consideration for which such Shares have been allotted is as follows ⁵ :-

³ Insert date (being the period to which the Annual Return relates)

⁴ Distinguish between Preference, Ordinary, etc.

⁵ If the shares have been paid for otherwise than in cash, please attach an original or a certified copy of the contract or supply details of the verbal contract under which the shares have been allotted.

Forename		Title			
Surname / Corporate Name in full _____					
Class of Shares ⁶	Number of shares	Currency	Nominal value of each share	Amount (if any) unpaid	Amount paid
Usual Address or Registered or Principal Office Address Building name/number Street Town Region or State Postcode Country	 _____ _____ _____ _____ _____ _____ _____				

For additional Allottee's Details please use 'Allottee's Details' continuation page
For additional Allotment's please use an 'Allotment's' continuation page

⁶ Distinguish between Preference, Ordinary, etc.

Part B Redemptions section 189 (5)

Date of Redemption	
Class of Share (e.g., Redeemable Preference A, Redeemable Preference B, etc)	
Number of Issued Shares Redeemed	
Nominal amount of shares redeemed	
Amount paid or due payable on each redeemed share	
Full Name of the person who has redeemed the shares:	
Address of person who has redeemed the shares:	

Date of Redemption	
Class of Share (e.g., Redeemable Preference A, Redeemable Preference B, etc)	
Number of Issued Shares Redeemed	
Nominal amount of shares redeemed	
Amount paid or due payable on each redeemed share	
Full Name of the <i>person</i> / <i>corporate person</i> who has redeemed the shares:	
Address of person who has redeemed the shares:	

For additional Redemptions please use a 'Redemptions' continuation page

Part C Purchase of Own Shares Section 189 (6)

Number of shares purchased	Class of share * E.g. Ordinary, Preference, etc.	Nominal value of the shares	Date that the shares were delivered to the company	Maximum price paid per share (PLC only)	Minimum price paid per share (PLC only)
			___/___/___		
			___/___/___		
			___/___/___		
			___/___/___		
			___/___/___		
			___/___/___		
			___/___/___		
			___/___/___		
			___/___/___		
			___/___/___		

For additional Purchases please use a 'Purchase of Own Shares' continuation page

(PLC only)

Please show the aggregate amount paid by the company for all the shares to which this return relates

Total aggregate amount⁷	
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⁷ Particulars of shares delivered to the company on different dates and under different contracts, and in such a case the amount required to be stated in this column is the aggregate amount paid by the company for all the shares to which the return relates.

Allottee's Details continuation page

Shareholders Details ⁸

Date of Allotment					
Forename	Title				
Surname / Corporate Name in full _____					
Class of Shares ⁹	Number of shares	Currency	Nominal value of each share	Amount (if any) unpaid	Amount Paid
Usual Address or Registered or Principal Office Address					
Building name/number	_____				
Street	_____				
Town	_____				
Region or State	_____				
Postcode	_____				
Country	_____				

⁸ List joint allottees as one shareholder⁹ Distinguish between Preference, Ordinary, etc.¹⁰ Distinguish between Preference, Ordinary, etc.¹¹ Distinguish between Preference, Ordinary, etc.

Purchase of Own Shares continuation page

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Redemptions continuation page

Date of Redemption	
Class of Share (e.g., Redeemable Preference A, Redeemable Preference B, etc)	
Number of Issued Shares Redeemed	
Nominal amount of shares redeemed	
Amount paid or due payable on each redeemed share	
Full Name of the person who has redeemed the shares:	
Address of person who has redeemed the shares:	

Date of Redemption	
Class of Share (e.g., Redeemable Preference A, Redeemable Preference B, etc)	
Number of Issued Shares Redeemed	
Nominal amount of shares redeemed	
Amount paid or due payable on each redeemed share	
Full Name of the <i>person</i> / <i>corporate person</i> who has redeemed the shares:	
Address of person who has redeemed the shares:	